

# Disclosure Statement



## REGISTERED FINANCIAL ADVISER

### Paul Stolworthy

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### Apex Risk Management Ltd

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29-05-2019

### It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

### What sort of adviser am I?

I am a registered, but not authorised, financial adviser.

### I can give you advice about:

- Personal & Business insurance including Life, Trauma, Income Protection, Total and Permanent Disability and Health Insurance.

### I can give you class advice (not personalised) about:

- KiwiSaver

### What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so that I and my employer can try to fix the problem.

You may contact the internal complaints scheme by contacting us on 0800 500 510 or email us at [advisers@apexgroup.co.nz](mailto:advisers@apexgroup.co.nz).

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact Insurance & Financial Services Ombudsman Scheme Inc.

This service will cost you nothing and will help us resolve any disagreements. You can contact Insurance & Financial Services Ombudsman Scheme Inc. at:

Address:	Insurance and Financial Services Ombudsman Scheme PO Box 10-845 - Wellington 6143 NEW ZEALAND
Telephone number:	+64 (04) 499 7612
Free phone:	0800 888 202
Email address:	<a href="mailto:info@ifso.nz">info@ifso.nz</a>

### How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>.

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

**Declaration**

I, Paul Stolworthy, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed



**Client acknowledgement**

I, ..... acknowledge receipt of the Disclosure Statement v6 dated 29<sup>th</sup> May 2019 for Paul Stolworthy and Apex Risk Management Ltd.

Signed..... Date.....

Signed..... Date.....

